

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: R. FURUKAWA, et al.

Application No.: 10/699,690

Filed: November 4, 2003

For: METHOD FOR MANUFACTURING SEMICONDUCTOR

INTEGRATED CIRCUIT DEVICE

Art Unit: 2818

Examiner: Q. Hoang

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97 AND 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 November 15, 2004

Sir:

Pursuant to Applicants' duty of disclosure, and as a supplement to the Information Disclosure Statement dated October 18, 2004, enclosed please find English translations of Japanese Patent Document No. 2001-284463 and No. 2001-298095, submitted with the Information Disclosure Statement dated October 18, 2004. Note that an English abstract and specified figures of the above-identified Japanese patent documents were submitted with the Information Disclosure Statement submitted October 18, 2004.

Please note that in the enclosed English translation of No. 2001-298095, the expression of "6: silicon oxide film containing nitrogen" in Fig. 2 of the materials submitted on October 18, 2004 has been corrected to that of "6: silicon oxide film including nitrogen".

Also enclosed herewith is a replacement Form PTO/SB/08A, for the Form PTO/SB/08A filed with the Information Disclosure Statement dated October 18, 2004, and reflecting the present filing of the respective English translations.

This Information Disclosure Statement is being submitted subsequent to the filing of a Request for Continued Examination (RCE) Transmittal in the above-identified Application No.: 10/699,690 Docket No.: 501.42174VX1

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application, but prior to issuance of a further Office Action after submission of such RCE Transmittal. Accordingly, it is respectfully submitted that requirements of 37 CFR § 1.97(b) are satisfied, with respect to the present submission of the enclosed English translations.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR §§ 1.97 and 1.98 have been satisfied, in connection with documents submitted with the respective Information Disclosure Statements submitted on October 18, 2004 and on even date. Accordingly, consideration of the documents submitted with these Information Disclosures Statements, upon further examination of the above-identified application, is respectfully requested.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to the Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (Docket No. 501.42174VX1), and please credit any excess fees to such deposit account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

William I. Solomon

Reg. No. **228**,565 43€

WIS/dlt

1300 North Seventeenth Street, Suite 1800

Arlington, Virginia 22209 Telephone: (703) 312-6600 Facsimile: (703) 312-6666

PTO/SB/08A (10-01)

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	Substitute for form 1449A/PTO					Complete if Known			
	INFORMATION DISCLOSURE					Application Number	10/699,690		
						Filing Date	November 4, 2003		
		STATEMENT BY APP	LIC	<b>ANT</b>	•	First Named Inventor	R. FURUKAWA, et al.		
						Art Unit	2818		
ı		(use as many sheets as ne	cessa	DV)		Examiner Name	Q. Hoang		
ı	Sheet	1	of		1	Attorney Docket Number	501.42174VX1		

	U.S. PATENT DOCUMENTS							
Examiner Initials'	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			

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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	τ°
Initials'	No.¹	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code  5(if known)	MM-DD-YYYY			
	ŀ	JP 2001-284463	10-12-2001	NEC		Trans & Abst
		JP 2001-298095	10-26-2001	NEC		Trans & Abst

	OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gox or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.